



Jason Streicher

Senior Legal Counsel, AUM Law*

T 416.966.2004 x243

F (866) 627-2299

Toronto

JStreicher@aumlaw.com

[LinkedIn](#)

[BLG Beyond AUM Law](#)

Jason's practice is focused on registration and securities compliance matters for portfolio managers, investment fund managers, exempt market dealers, family offices and crowdfunding platforms. Over the course of his 20+ year career as a securities lawyer, Jason has directly worked with numerous firms on building their business and obtaining appropriate registrations and enhancing their culture of compliance.

Jason works with CEOs, CCOs and other executives to help firms meet their ongoing compliance obligations as registrants. Jason regularly assists clients to prepare for and navigate through the OSC's regulatory audit process and is often called upon to advocate his clients' positions to the OSC and other provincial securities regulators. Jason's practice also includes providing counsel to AUM Law clients on related topics such as compliance with Canada's anti-money laundering legislation, cybersecurity requirements, the protection of confidential personal information and compliance with Canada's anti-spam laws. Jason is also experienced in providing counsel in respect of Canadian and cross-border private placements.

Prior to joining AUM Law in 2017, Jason spent numerous years working with a large Canadian law firm as a securities and transactional lawyer. In addition to advising clients about all aspects of the securities regulatory regime involving National Instrument 31-103, Jason also assisted on multiple public securities offerings and M&A transactions.

Jason is an accomplished presenter at industry and firm client events and provides lively and educational training events for AUM Law clients on various securities law topics.

Insights & Events

- Speaker, “Client Focused Reforms – KYP Considerations,” CAASA - Wealth Managers’ Forum, February 2021
- Speaker, “Regulating the Delivery of Advice,” Strategy Institute, Registrant Regulation Conduct & Compliance Conference, May 2019
- Speaker, “Senior and Vulnerable Investor Compliance Strategies,” Strategy Institute, Registrant Regulation Conduct & Compliance Conference, May 2018

Awards & Recognitions

- Recognized in the 2024 edition of *The Best Lawyers in Canada*® (Mutual Funds Law and Securities Law).

Bar Admission & Education

- Ontario, 2017
- Québec, 2000

- LLB & BCL, McGill University, 1999
- BComm, McGill University, 1995

*AUM Law Professional Corporation (“AUM Law” and “AUM”) is wholly owned by Borden Ladner Gervais LLP (“BLG”) but is a separate legal entity that is licensed in Ontario to directly or indirectly provide legal or other services to clients.

AUM and BLG operate and carry on business as separate legal entities. They are not one partnership and therefore neither AUM nor BLG is responsible for the acts or omissions of, nor has any authority to obligate or otherwise bind, the other.

BLG | Canada’s Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 725 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

[blg.com](https://www.blg.com)