Stacey Long

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AUM Law
Registrant Regulation & Markets

Stacey advises exempt market dealers, portfolio managers and fund managers on a variety of securities regulatory matters relating to the operation of their business and has done so as a part of AUM since 2011.

Stacey advises registrants on regulatory compliance matters, and in particular advises managers and distributors of Canadian investment vehicles, such as trust and partnership pooled funds, hedge funds, real estate and mortgage investment entities and other alternative investments on their regulatory compliance obligations and the considerations related to the operation of these entities.

Stacey has over 20 years of legal experience working with participants in the asset management industry – both at AUM and in house with asset managers. Stacey began her legal career as an associate in the tax group of a leading law firm and was legal counsel at a multinational asset manager and financial services corporation.

Insights & Events

- Speaker, “The Intensive Course in Canadian Securities Law and Practice,” Registration and Investment Funds, Osgoode Professional Development, 2021 and 2022
- Speaker, “Marketing Your Liquid Alternative Fund,” Canadian Association of Alternative Strategies and Assets (CAASA) webinar, 2020
- Author, "Don’t get lost in the crowd – FINTRAC rules for the mortgage industry", BLG Article, August 2024

- Author, "Compliance in stanzas: Breaking down FSRA’s 2024-27 Annual Business Plan", BLG Article, April 2024

Bar Admission & Education

- Ontario, 2004

- LLB, University of Western Ontario, 2003
- HBA, Richard Ivey School of Business, 2003

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