

Prema K. R. Thiele

Partner

T 416.367.6082
F 416.367.6749
Toronto
PThiele@blg.com

Investment Management
Mergers & Acquisitions
Financial Services Regulatory
Private Equity & Venture Capital
Capital Markets
Registrant Regulation & Markets
Insurance

Prema’s corporate and commercial practice focuses on securities law, insurance business law and mergers and acquisitions.

She helps a diverse group of clients with ongoing legal and compliance issues related to providing investment management services, distributing securities, and establishing and operating investment products in Canada.

Prema was selected as one of the Women’s Executive Network 2020 Top 100 Most Powerful Women in Canada - CIBC Executive Leaders award for her work leading a multidisciplinary legal team.

Prema served as a member of BLG's Partnership Board from 2018-2020, and as the Group Head for Corporate & Capital Markets from 2020-2026.

Experience

- Acted for BNY Mellon Wealth Management, Advisory Services, Inc. in its sale to Guardian Capital Group (TSX: GCG).
- Advised Franklin Templeton (NYSE:BEN), a global investment management organization, in its acquisition of Legg Mason, Inc. (NYSE:LM).
- Represented Virtu Financial (NASDAQ: VIRT), a leading provider of financial services and products that leverages cutting-edge technology, in its sale of MATCHNow marketplace to Cboe Global Markets.

- Advises domestic and foreign investment advisers, dealers and fund managers on conducting business in Canada (including registration under provincial securities legislation and membership in self-regulatory organizations).
- Conducts independent audits of governance structures and compliance procedures and advises and trains securities registrants on their design and assessment and how to strengthen compliance (including with respect to anti-money laundering).
- Advises on investment funds, including pooled-fund products, private placement of non-Canadian investment funds (including hedge funds), and investment management structuring issues.
- Acts on acquisitions in a variety of areas, including those involving advisers, dealers, fund managers, insurers, and insurance brokers, and in the building-product manufacturing, funeral services and automotive industries.
- Provides ongoing legal advice on insurance regulation and corporate governance issues to several insurers and insurance intermediaries.

Insights & Events

- Author, "The ETF next door? CIRO and CSA set expectations for foreign ETFs", BLG Article, August 2026
- Author, "Globalization - What's next?", BLG Article, July 2021
- Author, "Surging through 2021 to 2022: BLG's Vision of the Client Focused Reforms", BLG Article, February 2021
- Author, "Self-regulation in Canada – time for a change in changing times?", BLG Article, September 2020
- Author, "CSA seek to require registrants to help defend clients against financial exploitation ", BLG Article, July 2020
- Author, "CSA provide further flexibility for securities registrants - CRM Specialists and CCOs", BLG Article, July 2020
- Author, "Client-focused registrant reform deadlines postponed due to COVID-19 ", BLG Article, April 2020
- Author, "Regulatory responses to COVID-19 disruption to financial market participants", BLG Article, March 2020
- Author, "Surging to 2021: BLG's 2020 Vision of the Client-Focused Reforms", BLG Article, January 2020
- Author, "New Compensation Restrictions for a New Decade – CSA Bans with Twists in Ontario", BLG Article, December 2019
- Author, "Now the Work Begins — "Client Focused" Registrant Reform Rules Published by Canadian Securities Regulators", BLG Article, October 2019
- Author, "Monthly Reporting Requirements Still in Effect for Securities Registrants and Exempt Firms under Amended STCS Legislation", BLG Article, June 2019
- Author, "Updating Canada's Anti-Money Laundering and Anti-Terrorist Financing Regime", BLG Article, February 2018
- Author, "Canada Updates AML/ATF Regulations and Guidance", BLG Article, July 2016
- Co-Chair and Presenter, Securities Superconference, The Canadian Institute, October 2012.
- Annual Portfolio Manager Strategies Summit
- Presenter, Compliance Forum, Portfolio Management Association of Canada, September 2012.
- Presenter, Annual Portfolio Manager Strategies Summit, Strategy Institute, June 2012.
- Presenter, Association of Canadian Compliance Professionals Annual Conference, May 2012.
- Co-Chair and Presenter, Registrant Regulation Summit, Strategy Institute, March 2012.

Beyond our Walls

Professional Involvement

- Former Member, Ontario Securities Commission Registrant Advisory Committee (2012-2019)
- Member, Ontario Bar Association
- Member, Toronto Region Board of Trade
- Member, The National Club

Community Involvement

- General manager and Assistant Coach of the Royal York Cardinals Midget/Varsity Boys Select Baseball Team
- Member of the Executive Board of the Humber Valley Hockey Association

Awards & Recognition

- Selected by peers for inclusion in the 2027 edition (and since 2011) of *The Best Lawyers in Canada* (Mutual Funds Law) and from 2011 to 2021 (Securities Law).
- Recognized in the 2027 (and since 2016) edition of *Chambers Canada — Canada's Leading Lawyers for Business* (Investment Funds (Nationwide - Canada)).
- Recognized in every edition of *The Canadian Legal Lexpert Directory* (Investment Funds and Asset Management) since 2012, and in the 2023 edition (Corporate Commercial Law; Mergers & Acquisitions)
- Selected as one of the Women's Executive Network 2020 Top 100 Most Powerful Women in Canada - CIBC Executive Leaders award.
- Recognized in the 2025 edition (and since 2021) of *IFLR1000* (Investment Funds)

Bar Admission & Education

- Ontario, 1992

- LLB, University of Saskatchewan, 1990, (Dist.)
- B.Comm., University of Saskatchewan, 1990, (Dist.)

BLG | Canada's Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 800 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

blg.com

© 2026 Borden Ladner Gervais LLP. Borden Ladner Gervais LLP is an Ontario Limited Liability Partnership.