



## Maureen Doherty

Partner

T 416.367.6183  
F 416.367.6749  
Toronto  
[MDoherty@blg.com](mailto:MDoherty@blg.com)  
[LinkedIn](#)

[Securities Disputes](#)  
[Banking Litigation](#)  
[White Collar Criminal Defence and  
Corporate Investigations](#)  
[Class Actions](#)  
[Contract Disputes](#)

Maureen specializes in resolving complex securities and banking litigation disputes, regulatory proceedings, and advising on internal investigations for public and private companies, as well as individuals.

Maureen's areas of focus include:

- Securities litigation and regulatory work, including broker liability and departing advisor litigation, as well as investigations and proceedings before Provincial Securities Commissions and CIRO
- Conducting complex internal and external investigations
- Banking litigation, including bills of exchange
- Class actions, including securities class actions, unpaid wages class actions and investor loss class actions
- General commercial litigation, including enforcement matters and fraud

Prior to joining the firm as an associate, she summered and articulated in BLG's Toronto office.

## Experience

- Acting for public companies and registrants in confidential internal and regulatory investigations
- Acting for financial institution in defense of a multi-jurisdictional class action.

- Acting for financial institutions in defense of investor loss claims.
- Acting for corporations and partnerships in oppression actions and other shareholder disputes.
- Acting for registrants in defense of regulatory proceedings at the Ontario Securities Commission and CIRO.
- Representing Ocean Choice International Limited Partnership - Successfully defended private company in an oppression remedy launched by its minority limited partner: [Landvis Canada Inc. et al. v. Ocean Choice International Limited Partnership et al. | Lexpert](#)

## Insights & Events

- Author, "From red flags to repercussions: What CCOs and UDPs need to know about the new landscape of gatekeeper obligations", BLG Article, July 2025
- Author, "CIRO's handful of proposals: Phase 5 of the rule consolidation project", BLG Article, April 2025
- Author, "Simply the best (evidence): Capital Markets Tribunal rules on read-ins", BLG Article, February 2025
- Author, "Everything you need to know about the Canadian Investment Regulatory Organization (CIRO): a dynamic resource", BLG Article, February 2025
- Author, "No certification for Boal investors – Fiduciary duty ruled individual issue", BLG Article, November 2024
- Author, "Discharged bankrupts may be exempt from administrative penalties: Poonian v. British Columbia (Securities Commission)", BLG Article, August 2024
- Author, "Harmonized sanction guidelines and more: CIRO releases 2023-2024 enforcement report", BLG Article, August 2024
- Author, "Canada's New SRO: A new name, enforcement and policy focus", BLG Article, April 2023
- Quoted in, "[Being investigated by a regulator? Co-operation is crucial](#)," *Advisor's Edge*, June, 2020.
- Author, "MFDA issues further guidance on the use of electronic signatures", BLG Article, June 2020
- Quoted in "[Protecting yourself from claims when clients are stressed](#)," *Investment Executive*, June 2020.
- Author, "COVID-19 update from IIROC and the MFDA", BLG Article, March 2020
- Quoted, "[Pro bono opportunities through TAS](#)," Keeping Tabs, The Advocates' Society, January 2020.
- Author, "IIROC Releases Bulletin on Limitation of Liability & Exclusionary Clauses", BLG Article, November 2019
- Author, "MFDA Releases new Seniors & Vulnerable Clients Bulletin", BLG Article, October 2019
- Author, "CSA Releases Client Focused Reforms", BLG Article, October 2019
- Author, "IIROC & MFDA Release Enforcement Reports", BLG Article, September 2019
- Author, "MFDA Releases Revised Sanction Guidelines", BLG Article, November 2018
- Author, "Enhanced Fact-Finding Powers Used to Grant Summary Judgment in Fraud Claim", BLG Article, October 2018
- Author, "IIROC: OEO Firms Should no Longer Follow Section 2 of Notice 18-00075", BLG Article, August 2018
- Author, "Motion To Set Aside Two Ex Parte Orders Dismissed: Noreast Electronics Co. Ltd. v. Danis", BLG Article, February 2018
- Author, "Canadian Courts take a Second Look at Fairness to Shareholders in Plans of Arrangement", BLG Article, December 2016
- Author, "Whistleblower Policy Bulletin", BLG Article, July 2016

## Beyond Our Walls

### Professional Involvement

- Member, Securities Litigation group, The Advocates' Society
- Member, Securities Proceedings Advisory Committee (SPAC), Ontario Securities Commission

## Awards & Recognition

- Recognized in the 2022 edition of *Lexpert Special Edition: Litigation*.
- Recognized in the 2022 edition of *The Canadian Legal Lexpert Directory* (Litigation - Securities).

## Bar Admission & Education

- Ontario, 2013
- JD, Osgoode Hall Law School, 2012
- BA (Hons.), McMaster University, 2008

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