



Christian Faribault

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[Investment Management](#)
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Christian specializes in regulatory, compliance and governance matters facing Canadian and foreign-based participants in the investment management sector, including:

- investment fund managers
- portfolio managers
- dealers
- financial institutions
- service providers

Christian regularly advises portfolio managers and fund managers on legal issues relating to the provision of advisory services, the distribution of securities and the establishment, operation and administration of investment funds (retail and private). He also has extensive experience in the area of registrant regulation and compliance, including developing, reviewing and assessing compliance procedures and practices. He has obtained novel exemptive relief from a wide variety of prospectus, registration, conflict of interest and other compliance requirements under Canadian securities laws.

Christian has also acted on behalf of numerous issuers and investment bankers on all types of corporate finance work (equity and debt), including IPOs, bought deals and private placements, as well as merger and acquisition

transactions, shareholder and corporate governance matters, and the securities-related activities of financial institutions.

Prior to joining BLG, Christian acted as general counsel to an investment dealer registered across Canada and a member of the Toronto Stock Exchange (TSX), the TSX Venture Exchange (TSXV), the Canadian Securities Exchange (CSE), the Montreal Exchange (MX) and the Investment Industry Regulatory Organization of Canada (IIROC).

Insights & Events

- Another Change to Canada's Exempt Markets — New Harmonized Report of Exempt Distribution Effective June 30, 2016
- Editor of the book *Securities Law & Practice for Quebec* (since 2008)

Beyond Our Walls

Professional Involvement

- Member, Québec Bar
- Member, Canadian Bar Association
- Governor, Board of Governors of the Conseil des fonds d'investissement du Québec (CFIQ)
- Member, Regulatory Watch Committee of the Conseil des fonds d'investissement du Québec (CFIQ)
- Member, Cercle de la finance internationale de Montréal
- Member, Chamber of Commerce of Metropolitan Montreal
- Member, Cercle finance et placement du Québec

Community Involvement

- Director, Fondation Lina Cyr (Maison des greffés du Québec)

Awards & Recognitions

- Recognized in the 2021 (and since 2018) editions of *Best Lawyers in Canada*® (Private Funds Law) and in the 2021 edition (Mergers and Acquisitions Law).
- Recognized in the 2020 edition (and since 2014) of *The Canadian Legal Lexpert*® *Directory* (Investment Funds and Asset Management).
- Martindale-Hubbell® 4.5 out of 5 Peer Review Rated.

Bar Admission & Education

- Québec, 1999
- LLM (Securities Law), Queen's University
- LLB (Civil Law), Université de Sherbrooke



- JD (Common Law), Queen's University

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