



## Stephen P. Robertson

### Partner

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Stephen's practice is focused on advising clients in **corporate finance** transactions, **mergers and acquisitions**, and **corporate governance** matters.

Stephen counsels clients in a number of industries, including cryptocurrencies, blockchain, biopharmaceutical, mining and cannabis.

He also has extensive experience advising clients in respect of **proxy battles**, and works with a number of investment management-related clients in establishing and managing **investment funds**.

## Experience

- Aurinia Pharmaceuticals Inc. in its US\$173 million prospectus offering and US\$52 million private placement offering.
- Rubicon Organics Inc. in its \$13.2 million special warrant offering.
- Glance Technologies Inc. in its defence against a dissident slate of directors at an AGM.
- BIG Blockchain Intelligence Group Inc. in its reverse takeover acquisition of Acana Capital Corp. and subsequent \$17 million private placement financing.

- First Coin Capital Corp. in its merger transaction with Galaxy Digital and Bradmer Pharmaceuticals Inc.
- Anfield Nickel Corp. in its concurrent sale of the Coringa Project to Serabi plc and its merger transaction with Trek Mining Inc. and NewCastle Gold Corp. to form Equinox Gold Corp.
- Northern Property Real Estate Investment Trust in its \$45-million short-form prospectus offering.
- Finning International Inc. in its \$200 million shelf prospectus offering of medium term notes.
- Central 1 Credit Union in its \$150 million offering of floating rate notes.
- Issuers and underwriters in various brokered and non-brokered private placements ranging from \$2 million to \$50 million.
- Eldorado Gold Corp. in its \$2.5 billion acquisition of European Goldfields Limited by way of plan of arrangement.

## Insights & Events

- Fixing the Securities Industry Plumbing — The CSA Announce Plans for a New Issuer Electronic Filing System to be Operational by 2021
- Bill C-25 Approaches Royal Assent
- Parliament Looks To Enhance Shareholder Democracy And Gender Diversity Disclosure
- Five Provinces Confirm Investment Dealer Exemption is Suitable
- Contributing Editor, Securities Law and Practice, 3rd Edition, Thomson Carswell, 2008-present
- Co-Author, "Shareholder Rights, Remedies and Proceedings" chapter, British Columbia Company Law Practice Manual, The Continuing Legal Education Society of British Columbia (CLE BC), 2009, 2011 and 2016
- Canadian Securities Regulators Permit Retail Liquid Alt Funds and Ease (Some) Investment Restrictions for all Public Funds
- Investment Restrictions for Public Investment Funds — At a Glance!

## Beyond our Walls

### Professional Involvement

- Corporate Secretary, Aurinia Pharmaceuticals Inc.
- Member, Canadian Bar Association
- Member, Law Society of British Columbia

### Community Involvement

- Volunteer, BLG United Way Campaign, 2008 - present (Chair in 2014, 2015 and 2016)
- President and Director, Carousel Theatre Society

## Awards & Recognitions

- Recognized in the 2018 (and since 2016) edition of *The Canadian Legal Lexpert® Directory* (Investment Funds and Asset Management). Also recognized in 2019 for Corporate Mid-Market.
- Recognized in the 2019 (and since 2018) edition of *The Best Lawyers in Canada®* (Securities Law).
- Recognized in the 2015-2016 edition of the Lexpert® Special Edition on Global Mining.

## Bar Admission & Education

- British Columbia, 2008
- LLB, University of Manitoba, 2007,
- BA, Simon Fraser University, 2004,

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