



## David Di Paolo

### National Group Head, Disputes

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David is a leading Commercial Litigation lawyer who also specializes in securities litigation, class actions and shareholder disputes. He represents registrants and public companies and their respective officers, directors and shareholders in class action proceedings and all manner of securities and regulatory investigations and prosecutions.

David's areas of focus include:

- Class actions, including securities class actions, unpaid wages class actions and investor loss class actions
- Securities litigation and regulation, including broker liability and departing broker litigation, as well as investigations and proceedings before Provincial Securities Commissions, IIROC and the MFDA
- Shareholder disputes litigation, including oppression remedy proceedings
- **Directors' and officers' liability, including shareholders' actions, creditors' actions and offences under the Securities Act and other regulatory statutes**
- General commercial and employment litigation

David has appeared before all levels of court, including the Ontario Court of Appeal and the Supreme Court of Canada, as well as provincial securities commissions, IIROC and the MFDA.

At BLG, David is the National Group Head for our Disputes Group

## Insights & Events

- Trends to watch in 2021 – Disputes: accelerated transformation
- BLG clients Aimia Inc. and Kognitiv Corporation have a significant win
- COVID-19 update from IIROC and the MFDA
- IIROC Releases Bulletin on Limitation of Liability & Exclusionary Clauses
- CSA Releases Client Focused Reforms
- Co-author, "Supreme Court Clarifies Process for Challenging Production Orders," BLG Bulletin, December 2018
- Co-Author, "Class and Collective Actions in Canada," Lexology Guide, November, 2018.
- Canadian Securities Regulators Publish Revamped 'Client Focused' Registrant Reform Proposals and Signal Policy Direction on Mutual Fund Embedded Compensation
- Trailing Fees Class Action Commenced
- Editor, Brokers' Report
- Co-Author, "Costs Principles in Class Actions Are Not Asymmetrical," BLG Class Actions Bulletin, May 2015
- Quoted, "What to do if a client launches a lawsuit," Investment Executive
- Quoted, "Costly advice: Should all investors be treated as 'completely vulnerable'?", Financial Post
- Quoted, "Five things clients — and advisors — should do to protect themselves," Financial Post
- Quoted, "IFB Summit: OBAs in compliance spotlight," Investment Executive
- Author, "The Enforcers," Advisor's Edge Report
- Co-Author, "No Fiduciary Standard Needed in Canada," Advisor's Edge Report
- Co-Author, "Strategy for Defendants Facing a Leave Motion to Commence a Class Action Under the Securities Act"
- Co-Author, The Canadian Securities Regulators Proposals for a Best Interest Standard for Dealers
- Editor, Brokers' Report
- Quoted, "Costly advice: Should all investors be treated as 'completely vulnerable'?", Financial Post
- Quoted, "Five things clients — and advisors — should do to protect themselves," Financial Post
- Quoted, "IFB Summit: OBAs in compliance spotlight," Investment Executive
- Quoted, "What to do if a client launches a lawsuit," Investment Executive
- Speaker, "Elevating the Expecting Standard of Conduct for Advisors" Client Insights

## Beyond Our Walls

### Professional Involvement

- Member, Canadian Bar Association
- Member, The Advocates' Society

## Awards & Recognitions

- Recognized in the 2022 and previous editions of *The Best Lawyers in Canada*® (Class Action Litigation, Corporate Commercial Litigation, Securities Law).

- Recognized in the 2022 edition of *Chambers Canada – Canada's Leading Lawyers for Business* (Litigation: Securities - Ontario).
- Recognized in the 2021 and the 2020 edition of *The Canadian Legal Lexpert® Directory (Litigation – Securities)* and the 2020 edition (Litigation – Directors' & Officers' Liability).
- Recognized in the 2020 edition of *Lexpert Special Edition: Litigation*
- Recognized as a leading litigator in the *Lexpert® Special Edition on Litigation*.
- Recognized in the 2021 edition (and since 2020) of the *Benchmark Canada - The Definitive Guide to Canada's Leading Litigation Firms & Attorneys* as a Litigation Star (Class Actions, Commercial, Insolvency, Intellectual Property, Securities and Professional Liability).

## Bar Admissions & Education

- Ontario, 1998
- LLB, University of Western Ontario, 1996
- BSc, (Hons., summa cum laude ) in Biology and Psychology, McMaster University, 1993

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## BLG | Canada's Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 725 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

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