



John Hall

Senior Counsel

T 416.367.6643

F 416.367.6749

Toronto

JHall@blg.com

[LinkedIn](#)

[Investment Management](#)

[Mutual Funds](#)

[Registrant Regulation & Compliance](#)

[Financial Services](#)

[Private Funds, Hedge Funds & Alternative Investments](#)

[ETFs, Structured Products & Closed-End Funds](#)

John practises **corporate law**, with an emphasis on **securities**. In particular, he advises Canadian and foreign fund managers, investment advisors and distributors on legal issues related to:

- the administration of mutual funds, closed-end funds, exempt-pooled funds, hedge funds and other pooled vehicles
- the offering and the distribution of such products to retail and exempt purchasers
- exchange-traded funds (ETFs) and closed-end investment funds listed on the Toronto Stock Exchange

John is also a recognized authority on compliance, fund governance, sales practices and advertising.

John is the national leader of BLG's Investment Management Group. He also served on the firm's highest governing body, the Partnership Board, for the maximum term of six years, and served on the Toronto Management Committee and other key committees for many years.

Experience

- Has extensive experience advising on a wide range of compliance, fund governance, investment fund manager, adviser and dealer registration and registration exemption, sales practices and advertising issues.

- Deals extensively with issues relating to managed accounts.
- Frequently consults with respect to creative bundling of services and products to ensure their compliance with applicable legislation.
- Has been lead counsel in numerous acquisitions and divestitures of fund companies, fund assets and dealer companies and in complicated corporate reorganizations of complexes involving multiple corporate securities registrations.
- Engages extensively in issues relating to custody, brokerage arrangements and dealer-client documentation.
- Advises on the use of derivatives in pooled vehicles.
- Has obtained novel exemptive relief from a wide variety of prospectus, dealer registration, conflict of interest and other compliance requirements in the securities legislation of the 13 Canadian jurisdictions and from the applicable self-regulatory organizations.
- Advises insurance industry participants on segregated funds and other money-management issues.
- Has extensive experience in creating multi-jurisdictional fund structures.
- Was involved in the first income trust used to finance deferred charge selling commissions.
- Advised with respect to the first “merger” of commission financing limited partnerships into a larger Toronto Stock Exchange-listed limited partnership.

Insights & Events

- Author, "New Compensation Restrictions for a New Decade – CSA Bans with Twists in Ontario", BLG Article, December 2019
- Author, "Remembering John Mountain: Friend to Many in the Charity and NFP Sector", BLG Article, July 2018

Beyond Our Walls

Professional Involvement

- Member, Canadian Bar Association
- Member, International Bar Association
- Past member, Investment Funds Advisory committee to the Ontario Securities Committee

Community Involvement

- Director, member of Risk Committee and member of various taskforce groups at Raising The Roof/Chez Toi
- Participated in BLG Reads to Kids Program for many years

Awards & Recognitions

- Recognized in the 2021 edition of *The Best Lawyers in Canada*®- Lawyer of the Year (Mutual Funds Law).
- Recognized in the 2021 (and since 2016) edition of *Chambers Canada — Canada's Leading Lawyers for Business* (Investment Funds)

- Recognized in every edition of *The Canadian Legal Lexpert® Directory* (Investment Funds and Asset Management — Most Frequently Recommended) since 2008
- Recognized in every edition of *The Lexpert® /American Lawyer Guide to the Leading 500 Lawyers in Canada* (Investment Funds and Asset Management) since 2008
- Selected by peers for inclusion in the 2006-2021 editions of *The Best Lawyers in Canada®* (Mutual Funds Law, Securities Law) and as Mutual Fund Lawyer of the Year in 2010 and 2017
- Recognized as "Mutual Fund Lawyer of the Year – Toronto" in the 2019 edition of *The Best Lawyers in Canada®*

Bar Admission & Education

- Ontario, 1983
- LLB, University of Windsor, 1981
- BA (Hons., history), Queen's University, 1978

BLG | Canada's Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 725 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

[blg.com](https://www.blg.com)

© 2025 Borden Ladner Gervais LLP. Borden Ladner Gervais LLP is an Ontario Limited Liability Partnership.