

Jonathan Doll

Partner

T 403.232.9659
F 403.266.1395
Calgary
JDoll@blg.com
LinkedIn

Capital Markets
Corporate Governance
Corporate Finance
Mergers & Acquisitions
Investment Management
Private Funds, Hedge Funds & Alternative Investments
Registrant Regulation & Markets
Digital Assets

Jon is a trusted legal adviser who practises corporate and securities law and advises clients on market-leading transactions as well as regulatory developments.

As a trusted legal adviser, Jon regularly acts for numerous investment fund managers, portfolio managers, investment dealers, trust companies, family offices, digital asset companies, banks and marketplaces, assisting with mergers and acquisitions, fund formation, and registration (licensing) with the Canadian Securities Administrators (CSA) and other regulators.

He is a leading lawyer on the regulation, fund formation, and registration of investment fund managers, portfolio advisers and dealers. Jon advises on all types of fund formation, including retail mutual funds, ETFs, digital asset funds, real estate funds, private equity funds, and venture capital funds.

He acts for digital asset platforms on their new products, fintech arrangements, tokenization projects, and regulatory approvals.

Jon provides structuring advice to organizations with complex organization structures that include for-profit businesses, not-for-profit and charitable organizations.

Experience

- **NDAX Canada** regarding its registration as a marketplace alternative trading system (ATS), investment dealer, Canadian Investment Regulatory Organization (CIRO) member, and on its mergers and acquisition matters
- **Viewpoint Investment Partners Corporation** regarding launch of its retail mutual funds and pooled mutual funds
- **Spire Wealth** regarding its portfolio manager registration
- **Union Wealth** regarding its investment fund manager, portfolio manager and exempt market dealer registration
- **Northbase Finance** regarding its strategic private-equity investment from **BlackGold Capital Management LP**
- **LoKnow** regarding its acquisition of **Dandelion**
- **Appoxio** regarding its corporate sale to **Thirdera**
- **QV Investors** regarding its mutual funds and corporate matters
- **Exosphere Ventures** regarding the launch of its venture capital fund
- **Canoe Financial** regarding the launch of its private equity fund
- **TVC Trust** regarding the launch of its private equity fund
- **Farmcap** regarding the launch of its agricultural land fund
- **Crescero Natural Capital** regarding the launch of its agricultural land funds
- **Ayrfit West** regarding its acquisition of International Fitness Holdings, a portfolio company of **TriWest Capital Partners**
- **SmartBe Investments** regarding launch of its exchange-traded fund and investment fund manager, portfolio manager and exempt market dealer registration
- **Accelerate Financial Technologies** regarding launch of its retail alternative exchange-traded funds and pooled funds
- **Odyssey Trust Company** regarding its creation and ongoing matters
- **Rethink and Diversify Securities** regarding its securities commission registration
- **Portland Investment Counsel**, regarding creation of pooled funds and real estate funds
- **Grafton Asset Management,** regarding creation of various pooled funds and private equity funds
- **Ernst & Young**, in its capacity as Court-appointed monitor regarding the cross-border restructuring of **Walton International Group**
- **PricewaterhouseCoopers**, in its capacity as Court-appointed monitor regarding the cross-border restructuring of **Sanjel Corporation**
- **Gasfrac Energy Services** regarding its sale and reorganization under the *Companies' Creditors Arrangement Act*
- **Bonterra Energy** regarding its conversion from an income trust to a corporate structure
- **Bonterra Energy** regarding its acquisition of **Spartan Oil**
- **Western Energy Services** regarding its acquisition of **IROC Energy Services**
- **Western Energy Services** regarding its acquisition of **Stoneham Drilling Trust**
- **Western Energy Services** regarding its acquisition of **Pantera Drilling Income Trust**
- **NuLoch Resources** regarding its corporate sale to a NYSE-listed oil and gas company
- **Ember Resources** regarding its going-private transaction
- **Comaplex Minerals** regarding its corporate sale to **Agnico-Eagle** and spin out of **Geomark Exploration**

Insights & Events

- Author, "Policies, People and Practice: Highlights from the OSC's 2026 RIE Annual Report", BLG Article, November 2026
- Author, "The ETF next door? CIRO and CSA set expectations for foreign ETFs", BLG Article, August 2026
- Author, "The Registration Rules Book", BLG Article, June 2026
- Author, "The future is now: CIRO's compliance report for 2026", BLG Article, April 2026
- Author, "Under the bright lights: CSA & CIRO illuminate enhanced expectations in phase 2 client-focused reform sweep", BLG Article, December 2025
- Author, "In search of stability? Canada introduces new Stablecoin Act and receipts a prospectus for a Canadian stablecoin", BLG Article, November 2025
- Author, "Highlights from the 2025 OSC Registration, Inspections and Examinations Division Annual Report", BLG Article, September 2025
- Author, "ASC grants novel exemptive relief to permit a family office to act as dealer, adviser and investment fund manager", BLG Article, February 2025
- Author, "Insider trading or something else? Shadow trading steps out of the darkness", BLG Article, January 2025
- Author, "Private equity and venture capital sponsors: Are supply chain/modern slavery reporting requirements on your radar?", BLG Article, April 2024
- Author, "The Registration Rules Book", BLG Article, January 2024
- Author, "Navigating the regulatory minefield: A guide for digital asset businesses in Canada", BLG Article, February 2023
- Author, "Recent developments in the digital assets space", BLG Article, August 2022
- Author, "Countdown to April 19: crypto trading platforms need to comply", BLG Article, April 2021
- Author, "In need of start-up capital? Crowdfunding may be just the solution", BLG Article, April 2020
- Speaker, "PWC Hot Topics Affecting the Investment Management Industry," PricewaterhouseCoopers Inc., December 2018
- Speaker, "Investing in an Age of Disruption," Alternative Investment Management Association, November 2018.
- Speaker, "Offering Memorandums, Trips and Traps", Private Capital Markets Association of Canada, Western National Industry Conference 2018, November 2018.
- Speaker, "NI 81-102: Industry Innovation & Launching Liquid Alternatives Seminar", Alternative Investment Management Association, September 2018.
- Author, "SEC Settlement Decision Disrupts The Rise Of Free Tokens And Bounty Programs", BLG Article, August 2018
- Speaker, "Independent Review Committees: Current Regulatory Issues," Independent Review Inc. Continuing Education Series for Independent Review Committees, June 2018.
- Author, "Simple Agreements for Tokens (SAFTS) and the Regulatory Risk of ICOs and Token Sales", BLG Article, February 2018
- Speaker, "Fall Regulatory Update – Cybersecurity and Cryptocurrency," Alternative Investment Management Association, November 2017
- Speaker, "BLG's 2016 Investment Management Forum," BLG Seminar, October 2016
- Speaker, "Exempt Markets & Insolvency: An Intersection of Securities and Insolvency Laws," Canadian Bar Association, September 2017

Beyond Our Walls

Professional Involvement

- Corporate Secretary, Odyssey Trust Company
- Co-chair, Alternative Investment Management Association, Alberta Chapter

Community Involvement

- Director, Prairie Securities Traders Association

Awards & Recognition

- Recognized in the 2027 edition (since 2020) of *The Best Lawyers in Canada* (Securities Law) and in the 2024 edition of *The Best Lawyers in Canada*® (Private Funds Law) and in the 2022 edition of *The Best Lawyers in Canada* (Private Funds Law).
- Recognized in the 2026 edition (and since 2020) of *The Canadian Legal Lexpert Directory* (Corporate Mid-Market) and the 2026 edition (and since 2017) (Investment Funds and Asset Management)
- Recognized in the 2026 edition of *Lexpert Special Edition: M&A*
- Recognized in the 2026 edition of *Lexpert Special Edition: Technology*
- Recognized in the 2023 edition of *Lexpert Special Edition: Technology and Health Sciences*
- Recognized in the 2023 edition (and since 2022) of *Lexpert Special Edition: Energy*

Bar Admission & Education

- Alberta, 2006

- LLB, University of Western Ontario, 2005
- BCom, University of Alberta, 2002

BLG | Canada's Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 800 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

blg.com

© 2026 Borden Ladner Gervais LLP. Borden Ladner Gervais LLP is an Ontario Limited Liability Partnership.