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Rebecca practises securities law, as well as corporate and commercial law. She focuses on the compliance, regulatory and governance issues facing participants in the **investment management** industry, including fund managers, portfolio managers and dealers.

Rebecca has over 25 years of experience working with the investment management industry as a lawyer and a regulator.

Rebecca works with **fund managers** to establish new products and to manage and administer funds in compliance with applicable regulation.

Among other things, she assists fund managers and independent review committees to effectively implement and comply with fund governance **regulations** in Canada.

Rebecca also advises firms about effective implementation of the regulatory and compliance requirements for registrants mandated by the Canadian securities regulators.

Rebecca joined BLG in November 2003, after nine years as a senior investment funds regulator with the Ontario Securities Commission (OSC).

Insights & Events

- Fund total cost reporting – Coming to investor statements by 2025?
- The CSA's changes to mandatory registrant regulatory filings are now effective
- Reduced regulatory burden for investment funds and managers - progress for 2022
- Preparing for the new CFR titles and misleading communication requirements
- Ontario government to implement parts of Capital Markets Taskforce report
- Conflicted over how to identify and address conflicts?
- Surging through 2021 to 2022: BLG's Vision of the Client Focused Reforms
- Uniquely Canadian regulatory expectations for investment fund liquidity risk management
- No advice on mutual fund investing? No trail after June 1, 2022, but challenges ahead
- Self-regulation in Canada – time for a change in changing times?
- Ontario capital markets modernization taskforce releases initial consultation report
- CSA seek to require registrants to help defend clients against financial exploitation
- CSA provide further flexibility for securities registrants - CRM Specialists and CCOs
- Client-focused registrant reform deadlines postponed due to COVID-19
- Regulatory responses to COVID-19 disruption to financial market participants
- DSC's demise—Restricted in Ontario and banned in the rest of Canada as of June 1, 2022
- Surging to 2021: BLG's 2020 Vision of the Client-Focused Reforms
- New Compensation Restrictions for a New Decade – CSA Bans with Twists in Ontario
- OSC's Regulatory Burden Reduction Proposals – Potential Benefits to Investment Funds and Registrants
- Exempt Distribution Reporting Requirements for Investment Funds: What's New this Year?
- Now the Work Begins — “Client Focused” Registrant Reform Rules Published by Canadian Securities Regulators
- CSA Regulatory Burden Reduction Proposals for Investment Funds: A solid step in the right direction
- Concrete Regulatory Burden Reduction From the OSC: A Great Start (Maybe Not as Good as We Had Hoped)
- Fixing the Securities Industry Plumbing — The CSA Announce Plans for a New Issuer Electronic Filing System to be Operational by 2021
- OSC Seeks Input On Ways To Reduce Regulatory Burdens

Beyond Our Walls

Professional Involvement

- Leads and principal author of BLG comment letters on all significant proposed securities initiatives in investment management and registrant regulation.
- Past member of various committees of The Investment Funds Institute of Canada (IFIC)
- Regular participant, Regulatory and Compliance Committees, Portfolio Management Association of Canada
- Member of the board of directors of two Canadian insurance companies

Awards & Recognitions

- Recognized in the 2022, 2021, 2019 and since 2017 editions of the *Chambers Canada — Canada's Leading Lawyers for Business* (Investment Funds - Nationwide).
- Recognized in every edition of *The Canadian Legal Lexpert® Directory* (Investment Funds and Asset Management) since 2008.
- Recognized in every edition of *The Lexpert®/American Lawyer Guide to the Leading 500 Lawyers in Canada* (Investment Funds and Asset Management) since 2008.
- Recognized in the 2022 and previous editions of *The Best Lawyers in Canada®* (Mutual Funds Law and Securities Law).
- Recognized as a 2019 Acritas Star (and since 2017).

Bar Admission & Education

- Ontario, 1986
- Alberta, 1983

- LLB, University of Alberta, 1982
- BA in Political Science, University of Alberta, 1978

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As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 725 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

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