# BLG



## Kimberly (Kim) Poster Chief Legal Counsel, AUM Law\*

T 416.966.2004 x266 F (866) 627-2299 Toronto <u>KPoster@aumlaw.com</u> <u>LinkedIn</u> **BLG Beyond AUM Law** 

As the Chief Legal Counsel of AUM Law, Kim leads the team of professionals at the firm and advises on all aspects of registrant regulatory compliance. With over 25 years of legal experience, Kim specializes in registration regulation, regulatory policy and investment funds.

Kim's experience includes advising clients on a broad range of regulatory and compliance issues involving registered dealers, advisers and investment fund managers. She has also advised domestic and international issuers on the formation and compliance requirements for various types of investment vehicles. Kim has expertise advising on continuous disclosure obligations, unitholder communications, fund governance matters and other general securities and corporate law matters impacting registered firms.

Kim is recognized by clients for her professionalism, practical and inspired advice, leadership and broad strategic expertise in her field. Kim is involved in all aspects of AUM Law, from professional advice to innovations in providing cost-effective compliance services to operational firm management.

Kim is principally responsible for the content of the widely read monthly bulletin of recent developments released by AUM Law.

## Insights & Events

- Speaker, "Intensive Course in Canadian Securities Law and Practice," Osgoode Professional Development, October 2019
- Speaker, "Intensive Course in Canadian Securities Law and Practice," Osgoode Professional Development, June 2019

## **Beyond Our Walls**

#### **Professional Involvement**

• Member Advisory Panel, Canadian Association of Alternative Strategies and Assets, 2019-present

### Awards & Recognitions

- Recognized in the 2024 edition of The Best Lawyers in Canada® (Mutual Funds Law)
- Recognized in the 2023 edition (and since 2022) of *The Canadian Legal Lexpert*® *Directory* (Investment Funds and Asset Management)

## **Bar Admission & Education**

- Ontario, 1998
- JD, Osgoode Hall Law School, 1996

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#### BLG | Canada's Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 725 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

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