A Spring Mix For The Investment Management Industry: Securities Regulatory **Developments And Beyond**



June 04, 2019 7:30 a.m. - 9:30 a.m.



Borden Ladner Gervais LLP
Bay Adelaide Centre, 34th floor East Tower, 22 Adelaide St W Toronto, ON,
Canada M5H 4E3

Please join us for our annual spring breakfast seminar where we bring you up to date on regulatory developments. This year, we are going beyond securities regulatory developments. We will cover the following topics in a lively, interactive discussion moderated by <u>Kathryn Fuller</u>, partner in <u>BLG's Investment</u> Management Group:

- Update on electronic records, signatures and on-boarding Eric Boehm
- AML and other regulatory compliance issues <u>Julie Mansi</u>
- 2019 Federal Budget Impact on Funds Grace Pereira
- ullet Considerations in becoming a public alternative fund <u>Lynn McGrade</u>
- OSC's focus for 2019 <u>Michael Taylor</u>