



Shannon Baerwald

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Shannon provides comprehensive support with securities regulatory and corporate/commercial matters. Within her role, Shannon assists clients with required regulatory filings and communicating with securities regulatory authorities.

Her responsibilities include preparing and filing a variety of documents for corporate and investment fund clients, including:

- continuous disclosure documents
- securities regulatory filings (SEDAR and Commission portals)
- reports of exempt distribution
- compliance filings
- insider reports (SED)

During her 28 years of progressive corporate/commercial and securities law experience, Shannon has gained a well-rounded knowledge of the securities industry and the applicable legislation. She has assisted with mergers and acquisitions, private placements, takeover bids, exchange listings and public offerings.



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