



## Donna Spagnolo

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Donna practices corporate law, especially as it relates to the highly regulated insurance and investment management industries. She provides advice on day-to-day activities as well as extraordinary situations, with a focus on regulatory compliance, market conduct, governance issues and transactional matters.

Donna is a member of the firm's [Environmental, Social and Governance \(ESG\)](#) initiative.

## Insights & Events

- Author, "OSC issues new summary report with guidance for investment fund and structured product issuers", BLG Article, November 2023
- Author, "Federal financial institutions legislative and regulatory reporter - June 2023", BLG Article, July 2023
- Pearls of wisdom: Investment management regulatory trends and preparing for the new SRO, BLG Video, January 2023
- Author, "Federal financial institutions legislative and regulatory reporter – June - November 2022 ", BLG Article, January 2023
- Author, "Cybersecurity framework and incident reporting for Ontario's mortgage brokering sector", BLG Article, October 2022

- Author, "Federal financial institutions legislative and regulatory reporter – May 2022", BLG Article, June 2022
- Author, "Fund total cost reporting – Coming to investor statements by 2025?", BLG Article, June 2022
- Author, "Federal financial institutions legislative and regulatory reporter - April 2022", BLG Article, May 2022
- Author, "Federal financial institutions legislative and regulatory reporter – February 2022 ", BLG Article, March 2022
- Author, "Federal financial institutions legislative and regulatory reporter – January 2022", BLG Article, February 2022
- Author, "Federal financial institutions legislative and regulatory reporter – December 2021", BLG Article, January 2022
- Author, "Federal financial institutions legislative and regulatory reporter – November 2021", BLG Article, December 2021
- Author, "Federal financial institutions legislative and regulatory reporter – October 2021", BLG Article, November 2021
- Author, "Federal financial institutions legislative and regulatory reporter – September 2021", BLG Article, October 2021
- Author, "Federal financial institutions legislative and regulatory reporter – August 2021", BLG Article, September 2021
- Author, "Federal Financial Institutions Legislative and Regulatory Reporter - July", BLG Article, August 2021
- Author, "Federal financial institutions legislative and regulatory reporter – June 2021", BLG Article, July 2021
- Author, "Federal financial institutions legislative and regulatory reporter – May 2021", BLG Article, June 2021
- Author, "Federal financial institutions legislative and regulatory reporter – April 2021", BLG Article, May 2021
- Author, "Federal financial institutions legislative and regulatory reporter – March 2021", BLG Article, May 2021
- Author, "Federal financial institutions legislative and regulatory reporter – January and February 2021", BLG Article, March 2021
- Author, "Significant changes to regulatory framework for syndicated mortgage transactions", BLG Article, March 2021
- Author, "Federal financial institutions legislative and regulatory reporter – November 2020", BLG Article, December 2020
- Author, "Federal financial institutions legislative and regulatory reporter – October 2020", BLG Article, December 2020
- Author, "No advice on mutual fund investing? No trail after June 1, 2022, but challenges ahead", BLG Article, September 2020

## Beyond Our Walls

### Professional Involvement

- Member, IFIC Sales Practices Guidelines Review Sub-Group
- Member, IFIC Legal and Compliance Issues Working Group
- Member, Canadian Bar Association (business law section)
- Member, National Society of Compliance Professionals

## Community Involvement

- Chair, Turning Point Youth Services

## Awards & Recognitions

- Recognized in the 2023 (and previous editions) of *Canadian Legal Lexpert® Directory* (Investment Funds & Asset Management).
- Selected by peers for inclusion in the 2024 edition (and since 2011) of *The Best Lawyers in Canada®* (Mutual Funds Law).
- Recognized as a 2019 Acritas Star.
- Honoured with a 2014 *Lexpert® Zenith Award* (Corporate Finance and Securities).

## Bar Admission & Education

- Ontario, 1998
- LLB, York University, 1996
- BSc (with High Dist.), University of Toronto, 1993

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