



## Maureen Doherty

### Partner

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[Securities Disputes](#)  
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Maureen practises in the Commercial Litigation Group at BLG. As a member of the Financial Institutions Team at BLG, her practice focuses primarily on banking and securities litigation. She also has a broad commercial litigation practice, with significant experience in obtaining interim and interlocutory injunctions. Most recently, she has also gained experience in defending complex class action lawsuits.

Maureen has appeared before all levels of court in Ontario, the Newfoundland & Labrador Trial Division and the Newfoundland & Labrador Court of Appeal. In addition, she regularly appears before several tribunals, including the OSC, the MFDA and IIROC.

Prior to joining the firm as an associate, she summered and articulated in BLG's Toronto office.

## Experience

- Acts for financial institution in defense of a multi-jurisdictional class action.
- Acts for financial institutions in defense of investor loss claims.
- Acts for corporations and partnerships in oppression actions and other shareholder disputes.
- Acts for registrants in defense of regulatory proceedings at the Ontario Securities Commission, the Mutual Fund Dealers Association of Canada and the Investment Industry Regulatory Organization of Canada.

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## Insights & Events

- Author, "Everything you need to know about the Canadian Investment Regulatory Organization (CIRO): a dynamic resource", BLG Article, April 2024
- Author, "Canada's New SRO: A new name, enforcement and policy focus", BLG Article, April 2023
- Quoted in, "[Being investigated by a regulator? Co-operation is crucial](#)," *Advisor's Edge*, June, 2020.
- Author, "MFDA issues further guidance on the use of electronic signatures", BLG Article, June 2020
- Quoted in "[Protecting yourself from claims when clients are stressed](#)," *Investment Executive*, June 2020.
- Author, "COVID-19 update from IIROC and the MFDA", BLG Article, March 2020
- Quoted, "[Pro bono opportunities through TAS](#)," Keeping Tabs, The Advocates' Society, January 2020.
- Author, "IIROC Releases Bulletin on Limitation of Liability & Exclusionary Clauses", BLG Article, November 2019
- Author, "MFDA Releases new Seniors & Vulnerable Clients Bulletin", BLG Article, October 2019
- Author, "CSA Releases Client Focused Reforms", BLG Article, October 2019
- Author, "IIROC & MFDA Release Enforcement Reports", BLG Article, September 2019
- Author, "MFDA Releases Revised Sanction Guidelines", BLG Article, November 2018
- Author, "Enhanced Fact-Finding Powers Used to Grant Summary Judgment in Fraud Claim", BLG Article, October 2018
- Author, "IIROC: OEO Firms Should no Longer Follow Section 2 of Notice 18-00075", BLG Article, August 2018
- Author, "Motion To Set Aside Two Ex Parte Orders Dismissed: Noreast Electronics Co. Ltd. v. Danis", BLG Article, February 2018
- Author, "Canadian Courts take a Second Look at Fairness to Shareholders in Plans of Arrangement", BLG Article, December 2016
- Author, "Whistleblower Policy Bulletin", BLG Article, July 2016

## Beyond our Walls

### Community Involvement

- BLG Reads to Kids Program
- Pro bono duty counsel, Law Help Ontario

## Awards & Recognitions

- Recognized in the 2022 edition of *Lexpert Special Edition: Litigation*.
- Recognized in the 2022 edition of *The Canadian Legal Lexpert Directory* (Litigation - Securities).

## Bar Admission & Education

- Ontario, 2013



- JD, Osgoode Hall Law School, 2012
- BA (Hons.), McMaster University, 2008

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